# IAP7 Rec'd PCT/PTO 01 SEP 2006'

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 29610/CDT481 DESIGNATED/ELECTED OFFICE (DO/EO/US) APPLICATION NO. (if known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/GB2005/000819 2 March 2005 3 March 2004 TITLE OF INVENTION Organic Light Emitting Diode APPLICANT(S) FOR DO/EO/US Euan C. SMITH and Faisal QURESHI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) Х is attached hereto (required only if not communicated by the International Bureau). b. х has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d.i x have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. x 15. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 3.86.7 Sec. 12. 12. 0.0

# IAP9 Rec'd PCT/PTO 01 SEP 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NOTHER HONAL SECON CER (E)			INTERNATIONAL APPLICATION NO. PCT/GB2005/000819			ATTORNEY'S DOCKET NUMBER 29610/CDT481		
20. x Other items or information: International search report; return receipt postal card								
The following fees have been submitted CALCULATIONS PTO USEONLY								
21. x Basic national fee (37 CFR 1.492(a))					\$ 300.0			
22. x Examination fee (37 CFR 1.492(c))								
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.0	00		
All other situations \$200 23. X Search fee (37 CFR 1.492(b))								
If the written opin	If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0							
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						\$ 400.00	00	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB					\$400			
All other situation	TOTAL OF 21, 22				\$500	\$ 900.0	00	
Additional	······································		ed in paper over 100 she	ets (	excluding	<b>V OO</b>		
sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)		RATE			
- 100 =	/50 =		x \$250.00					
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						\$		
	CLAIMS NUMBER FILED		NUMBER EXTRA			0.0	) <u>o</u> T	
Total claims 18 - 20 =		0	0 x 50.00 0 x 200.00		0.0			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +								
TOTAL OF ABOVE CALCULATIONS =						\$ 900.0	00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.								
. SUBTOTAL =					SUBTOTAL =	\$ 900.0	00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$		
TOTAL NATIONAL FEE =					AL NATIONAL FEE =	\$ 900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$		
						\$		
TOTAL FEES ENCLOSED =					\$	900.00		
		<u></u>				Amount to be refunded:	\$	
						Amount to be charged	\$	

AP9 Rec'd PCT/PT0 o 1 SEP 2006

10/5 9136 Approved for use through 03/31/2007. OMB 0651-0021

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. X A check in the amount of \$ 900.00 to cover the above	e fees is enclosed.						
b. Please charge my Deposit Account No. 13-2855 in the amore A duplicate copy of this sheet is enclosed.	•						
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit							
Account No. 13-2855 . A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information about the instruction and public card information are provided as a few public card information and public card information and public card information are public.							
should not be included on this form. Provide credit card information and authorization on PTO-2038  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be							
filed an granted to restore the International Application to pending status	j.						
SEND ALL CORRESPONDENCE TO:	SIGNATURE  James Z. Zeller						
CUSTOMER NUMBER: 04743	$\smile$						
	28,491 REGISTRATION NUMBER						
	NEGOTO WOMEN.						
	•						
	·						
·							
	•						
	• • • • • • • • • • • • • • • • • • • •						
·							

## 10/591363 IAP9 Rec'd PCT/PTO 01 SEP 2006

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Smith et al.	)	For: ORGANIC LIGHT EMITTING DIODE (as amended)
U.S. National Phase of	()	(as anones)
PCT/GB2005/000819 filed March 2,	j)	Group Art Unit: To be assigned
2005	<u></u>	
	)	Examiner: To be assigned
Filed: Herewith	Ć	
	)	
	)	

### **CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that the attached five items (a) through (e) are being deposited with the United States Postal Service on September 1, 2006, in a postage-paid envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV913871337US.

- (a) Transmittal letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. §371;
- (b) Application, including eleven sheets of description, three sheets of claims, and four sheets of drawings;
- (c) Application Data Sheet;
- (d) Preliminary Amendment; and
- (e) International Search Report.

Juan Quintero